



MANUFACTURING TECHNOLGY CENTER 6285 TRI-RIDGE BOULEVARD LOVELAND, OH 45140-8318

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November 17, 2004

RICHARD C. STEWART

CHIEF COUNSEL

CERTIFICATE OF MAILING Dated 11/18/04

RECEIVED

NOV 3 0 2004

Commissioner for Patents Post Office Box 1450 Alexandria, Virginia 22313-1450

OFFICE OF PETITIONS

SUBMISSION OF RESPONSE TO NOTICE TO FILE MISSING PARTS RE:

Applicant: RUDOLPH, et al

Serial No. 09/837,351 Filed on April 17, 2001

Title MATERIALS HANDLING, TRACKING AND CONTROL SYSTEM

Our Ref. SMT-022223-US

Dear Commissioner:

Enclosed herewith for filing, Applicants respectfully submit the following:

- 1. Transmittal Form, PTO/SB/21, 1 sheet(s);
- 2. Fee transmittal Form, PTO/SB/17, 1 sheet(s);
- Cover Letter, 1 sheet(s); 3.
- Petition for Revival of an Application for Patent Abandoned Unintentionally 4. under 37 CFR 1.137(b), 2 sheet(s);
- Notice of Abandonment under 37 CFR 1.53, 1 sheet(s); 5.
- Notice to File Missing Parts of Nonprovisional Application, 1 sheet(s); 6.
- 7. Substitute Drawings, 3 sheet(s);
- Declaration under 37 CFR 1.63 & Appointment of Power of Attorney, 2 sheet(s); 8.
- 9. Recordation Form, PTO-1595, 1 sheet(s);
- Inventor's Assignment, 3 sheet(s); 10.
- a postage-prepaid, return-receipt postcard listing the above items thereon. 11.

Please stamp the enclosed postcard and return same to me to indicate your receipt of the above-listed items. Please feel free to contact me if you have any questions concerning the above or the enclosed.

With kindest regards,

Richard C. Stewart, II Attorney for Applicants

RCS/jt

Attorney Docket No.: SMT-022223-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: RUDOLPH, Richard F.

Group Art Unit:

Serial No.:

09/837,351

Examiner:

For:

MATERIALS HANDLING, TRACKING AND CONTROL SYSTEM

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Filed:

April 17, 2001

Confirmation No.: 1844

NOV 3 0 2004

OFFICE OF PETITIONS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL **APPLICATION MAILED JUNE 3, 2001**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant is in receipt of a NOTICE OF ABANDONMENT mailed 10/23/2003 relating to the above identified application due to failure to respond to a Notice to File Missing Parts namely, a missing oath/declaration by the inventor and substitute drawings.

Submitted contemporaneously herewith is a Petition to Revive the present application.

Submitted with the present response is a properly executed Declaration by the sole inventor and substitute drawings.

Revival of the application, entry of this Declaration in the application, and examination of the application are respectfully requested.

Respectfully submitted,

Richard C. Stewart, II Registration No. 28,047

International Paper Company 6285 Tri-Ridge Blvd. Loveland, OH. 45140 Phone: (513) 248-6079

Atty Docket: IP-022223

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 09/837,851 **TRANSMITTAL** Filing Date April 17, 2001 First Named Inventor **FORM** RUDOLPH, Richard F. **Art Unit** OFFICE OF PETITIONS **Examiner Name** (to be used for all correspondence after initial filing) Attorney Docket Number IP-022223 Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)								
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks			After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Im Post Card			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Name		International Paper Comp	· · · · · · · · · · · · · · · · · ·					
Printed name		In lett						
Frinted name		Richard C. Stewart, II						
Date		October 19, 2004			Reg. No.	28,047	,	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature TOML INSON Typed or printed name

Date 11-18-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-04v2)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number. the Paperwork Reduction Act of 1995, no persons are required to re

FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1500.00

Name (Print/Type)

Richard C. Stewart, II

sports to a concensit of information unless it displays a valid offic fluidibe					
Complete if Known					
Application Number	09/837,351				
Filing Date	April 17, 2001				
First Named Inventor	RUDOLPH, Richard F.				
Examiner Name	NOV 3 0 2004				
Art Unit	AGEIGE OF PETITIONS				
Attorney Docket No.	IP-022223 OFFICE OF PETITIONS				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
Check Credit card Money Other None	3. ADDITIONAL FEES							
Order Order	Large Entity Small Entity							
Denosit	Fee Fee Fee Fee Fee Description Fee Paid							
Account Number	Code (\$) Code (\$) 1051 130 2051 65 Surcharge - late filing fee or oath							
Deposit International Deposit	1052 50 2052 25 Surcharge - late provisional filing fee or							
Name International Paper Co.	cover sheet							
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for <i>ex parte</i> reexamination							
Charge fee(s) indicated below Credit any overpayments	1804 920* 1804 920* Requesting publication of SIR prior to							
Charge any additional fee(s) or any underpayment of fee(s)	Examiner action							
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action							
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month							
1. BASIC FILING FEE	1252 430 2252 215 Extension for reply within second month							
Large Entity Small Entity	1253 980 2253 490 Extension for reply within third month							
Fee Fee Fee Fee Paid Code (\$) Code (\$)	1254 1,530 2254 765 Extension for reply within fourth month							
1001 790 2001 395 Utility filing fee	1255 2,080 2255 1,040 Extension for reply within fifth month							
1002 350 2002 175 Design filing fee	1401 340 2401 170 Notice of Appeal							
1003 550 2003 275 Plant filing fee	1402 340 2402 170 Filing a brief in support of an appeal							
1004 790 2004 395 Reissue filing fee	1403 300 2403 150 Request for oral hearing							
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding							
SUBTOTAL (1) (\$) 0	1452 110 2452 55 Petition to revive - unavoidable							
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,370 2453 685 Petition to revive - unintentional							
Fee from	1501 1,370 2501 685 Utility issue fee (or reissue)							
Extra Claims below Fee Paid Total Claims -20** = X =	1 100 100 100 100 100 100 100 100 100 1							
Independent 2**	1503 660 2503 330 Plant issue fee							
Claims -3 -	1460 130 1460 130 Petitions to the Commissioner							
Large Entity Small Entity	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)							
Fee Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Stmt							
Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)							
1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	1809 790 2809 395 Filing a submission after final rejection							
1203 300 2203 150 Multiple dependent claim, if not paid	(37 CFR 1.129(a))							
1204 88 2204 44 ** Reissue independent claims	1810 790 2810 395 For each additional invention to be examined (37 CFR 1.129(b))							
over original patent	1801 790 2801 395 Request for Continued Examination (RCE)							
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application							
SUBTOTAL (2) (\$) ⁰	Other fee (specify)							
**or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) /500.00							
SUBMITTED BY (Complete (if applicable))								

Signature WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

28,047

Telephone 513/248-6079

10-19-04



United States Patent and Trademark Office

SMT-02223

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademust Offices Address: COMMISSIONER FOR PATENTS P.O. Dex 150 Alexandric, Viginia 22013-1450

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/837,351

Richard F. Rudolph

IP6078

04/17/2001

INTERNATIONAL PAPER COMPANY 1422 LONG MEADOW ROAD **TUXEDO, NY 10987**



CONFIRMATION NO. 8882 ABANDONMENT/TERMINATION LETTER OC000000011091275*

Date Mailed: 10/23/2003

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 06/13/2001.

No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the aboveidentified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

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A copy of this notice MUST be returned with the reply.

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OFFICE OF PETITIONS

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE ICTON, D.C. 20231 www.uspto.gov

:5132486455

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/837,351

04/17/2001

Richard F. Rudolph

IP6078

INTERNATIONAL PAPER COMPANY 1422 LONG MEADOW ROAD **TUXEDO, NY 10987**



CONFIRMATION NO. 8882 FORMALITIES LETTER

OC000000006175538*

Date Mailed: 06/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

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Substitute drawings in compliance with 37 CFR 1.84 because:

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 drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

11/24/2004 GWORDOF1 00000023 090525 09837851

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